

10-27-03

3641



Practitioner's Docket No.: 892\_019

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Here patent application of: Akira WAKABAYASHI

#9  
10-30-03  
DRS

Serial No.: 09/908,945

Art Unit: 3641

Filed: July 19, 2001

Examiner: Denise J. Buckley

Confirmation No.: 1922

For: ONLINE TRADING SYSTEM

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 24, 2003 under "EXPRESS MAIL" mailing label number EL 97517 0348 US.

Janet M. Stevens

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CERTIFICATION UNDER 37 C.F.R. § 1.97(e)

GROUP 3600

Sir:

- ☒ Each item of information contained in the Information Disclosure Statement filed herewith was *first* cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

OR

- ☐ No item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

October 24, 2003

Date

Stephen P. Burr

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In re the application of: Akira WAKABAYASHI

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*Janet M. Stevens*  
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**INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449. Copies of each of the references listed on Form PTO-1449 are attached.

Also enclosed is a copy of a European Search Report bearing a mailing date of September 23, 2003 from a corresponding European patent application, and the U.S. PTO is directed thereto for a concise statement of possible relevance of the references cited therein.

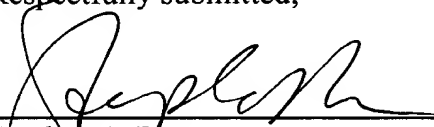
The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that these references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446.

Respectfully submitted,

October 24, 2003

Date

  
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Form PTO-1449 US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<i>Complete if Known</i>	
				Application Number	09/908,945
				Filing Date	July 19, 2001
				First Named Inventor	Akira WAKABAYASHI
				Art Unit	3641
				Examiner Name	Denise J. Buckley
				Confirmation No.	1922
Sheet	1	of	1	Attorney Docket No.	892_019

### U.S. PATENT DOCUMENTS

Exam. Initial		Document Number	Date	Name	Our Docket No.	Class	Sub Class	Filing Date
	AF	6,006,201	12/21/1999	Berent et al.				
	AG	5,845,265	12/01/1998	Woolston				
	AH	5,826,244	10/20/1998	Huberman				
	AI	4,789,928	12/6/1988	Fujisaki				

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### FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub Class	Translation	Abstract
	AJ	11-025158	01/29/1999	JP				✓

### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages etc.)

AK	HUHNS M N ET AL: "Online auctions", IEEE INTERNET COMPUTING, IEEE SERVICE CENTER, PISCATAWAY, NJ, US, vol. 3, no. 3, May 1999 (1999-05), pages 103-105, XP002160635, ISSN: 1089-7801
AL	"Business Negotiations on the Internet," IBM RESEARCH DIVISION; IAC REPORT, 11 March 1998 (1998-03-11), XP01146208, Yorktown Heights, page 7-11; figure 7

Examiner:		Date Considered:	
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.